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Michelle P. Chicos

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |  |               |            |
|-------------|--|---------------|------------|
| Applicant:  | Xinnian Dong et al.                        | Art Unit:     | 1638       |
| Serial No.: | 08/908,884                                 | Examiner:     | A. Kubelik |
| Filed:      | August 8, 1997                             | Customer No.: | 21559      |
| Title:      | ACQUIRED RESISTANCE GENES AND USES THEREOF |               |            |

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

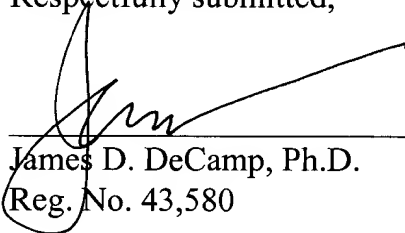
This statement is being filed after a first Office action on the merits, but before the mailing of a final Office action or a Notice of Allowance. A check for \$180.00 in payment of the late submission fee of 37 C.F.R. § 1.17(p) is enclosed.

Applicants note that an Information Disclosure Statement and a Form PTO-1449 were filed in this case on May 10, 2002, and that they have not yet received an initialed copy of the Form PTO-1449. Applicants thus request an initialed copy of the Form PTO-1449.

If there are any other charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: November 7, 2002

  
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Sheet 1 of 1

|   |   |  |                             |                                     |          |                                 |
|---|---|--|-----------------------------|-------------------------------------|----------|---------------------------------|
| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)  |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                             | Attorney Docket No.<br>00786/339004 |          |                                 |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary)<br><br>(37 C.F.R. § 1.98(b))  |   |  |                             | Serial No.<br>08/908,884            |          |                                 |
|   |   |  |                             | Applicant<br>Xinnian Dong et al.    |          |                                 |
|   |   |  |                             | Filing Date<br>August 8, 1997       |          |                                 |
|   |   |  |                             | Group<br>1638                       |          |                                 |
|   |   |  |                             | IDS Filed<br>November 7, 2002       |          |                                 |
| U.S. PATENTS  |   |  |                             |                                     |          |                                 |
| Examiner's<br>Initials  | Patent Number   | Issue Date   | Patentee                    | Class                               | Subclass | Filing Date<br>(if Appropriate) |
|   |   |  |                             |                                     |          |                                 |
|   |   |  |                             |                                     |          |                                 |
| FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION  |   |  |                             |                                     |          |                                 |
| Examiner's<br>Initials  | Document<br>Number  | Publication<br>Date  | Country or<br>Patent Office | Class                               | Subclass | Translation<br>(Yes/No)         |
|   | *WO 94/16077  | 21.07.94   | WIPO                        |                                     |          |                                 |
|   | *WO 95/19443  | 20.07.95   | WIPO                        |                                     |          |                                 |
|   | *WO 98/26082  | 18.06.98   | WIPO                        |                                     |          |                                 |
|   | *WO 98/29537  | 09.07.98   | WIPO                        |                                     |          |                                 |
| OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)   |   |  |                             |                                     |          |                                 |
|   | *Delaney et al., "Arabidopsis Signal Transduction Mutant Defective in Chemically and Biologically Induced Disease Resistance," <i>Proceedings of the National Academy of Science</i> 92:6602-6606 (1995). |  |                             |                                     |          |                                 |
|   | *Dong et al., "Genetic Dissection of Systemic Acquired Resistance," <i>Current Opinion in Plant Biology</i> 4:309-314 (2001).   |  |                             |                                     |          |                                 |
|   | *Ryals et al., "The Arabidopsis NIM1 Protein Shows Homology to the Mammalian Transcription Factor Inhibitor IKB," <i>Plant Cell, American Society of Plant Physiologists</i> 9:425-439 (1977).            |  |                             |                                     |          |                                 |
|   |   |  |                             |                                     |          |                                 |
|   |   |  |                             |                                     |          |                                 |
| EXAMINER  |   |  | DATE CONSIDERED             |                                     |          |                                 |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant. |   |  |                             |                                     |          |                                 |